

Firm Name:		Email Address:	
Name:		Phone Number:	

LIST OF ASSURANCE & COMPILATION ENGAGEMENTS BY PARTNER

Effective Date:

#	Partner / Authorized Staff *	Name of Client	Type of Engagement					Reporting Framework (e.g. IFRS, ASPE, NFPO, PSAB, etc.)	Fiscal year- end Date
			High Risk** (Y/N)	Audit	Review	Compilation	Special Report		
							Type		
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List any client or group of clients which represents more than 20% of the firm's fees: _____

* This refers to the engagement partner or authorized staff (i.e. staff with signing authority) who is responsible for the sign off and release of the file.
 ** Examples of high-risk engagements can include emerging areas (ie. Cannabis, Blockchain, Crypto-Assets), the rendering a second opinion (ie. been involved in an opinion on an accounting or audit issue where another firm has been involved to report), clients with significant inventories, investments or advances receivable where the firm has had difficulties obtaining assurance as to the accuracy of measurement or valuation, or engagements where the firm has experienced unusual difficulties in obtaining evidence or agreeing to financial statement disclosure.